



16076 U.S. PTO

**NON-PROVISIONAL
UTILITY PATENT APPLICATION
TRANSMITTAL - 37 CFR 1.53(b)**

☐ **Duplicate**
(check, if applicable)

MAIL STOP PATENT APPLICATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Attorney Docket No.: 8861-385U1

First Named Inventor: Kiyoshi Masaki

Express Mail Label No.: EL 960413255 US

Total Pages of Transmittal Form: 2

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10/718119



Transmitted herewith for filing is the non-provisional utility patent application entitled:

DISK DRIVE APPARATUS

which is:

an ☐ Original; or

a ☒ Continuation, ☐ Divisional, or ☐ Continuation-in-part (CIP)

of prior Application No. 09/509,231 filed June 8, 2000.

Anticipated Group/Art Unit: 2813.

Enclosed are:

☒ Application Data Sheet.

☒ Specification (including Abstract) and claims: 221 pages.

☒ 64 sheets of drawings (formal).

☒ Copy of Declaration from prior application.

☒ An assignment of the invention was recorded on June 8, 2000 at Reel 010926,
Frame 0917 in prior application No. 09/509,231 filed June 8, 2000.

☒ Name of Assignee: Matsushita Electric Industrial Co., Ltd.

☒ Certified copies of Japanese Application Nos.

Hei 9-260101 filed September 25, 1997;

Hei 9-344160 filed November 27, 1997; and

Hei 10-267250 filed September 3, 1998

are filed in prior application 09/509,231 filed June 8, 2000.

☐ Applicant(s), by its/their undersigned attorney, claim(s) Small Entity Status under
37 C.F.R. §1.27 as ☐ an Independent Inventor, or ☐ a Small Business Concern,
or ☐ a Non-Profit Organization.

☒ Information Disclosure Statement, PTO/SB/08A (2 sheets), and copies of foreign
patent documents and non-patent literature.

The filing fee is calculated as follows:

| | | | SMALL ENTITY | | | LARGE ENTITY | |
|--|-----------|-----------|--------------|-----------|----|--------------|--------------|
| CLAIMS | NO. FILED | NO. EXTRA | BASIC FEE: | | | BASIC FEE: | |
| | | | \$385 | | | \$770 | |
| Total | 4-20 = | 0 | X9 | \$ | OR | X18 | \$ 0 |
| Independent | 4- 3= | 1 | X43 | \$ | OR | X86 | \$ 86 |
| <input type="checkbox"/> Multiple Dependent Claims Present | | | \$145 | \$ | OR | \$290 | \$ |
| | | | TOTAL | \$ | OR | TOTAL | \$856 |

- ☒ A check in the amount of **\$856.00** to cover the filing is enclosed.
- ☒ The Commissioner is hereby authorized to charge and/or credit **Deposit Account No. 50-1017 (Billing No. 208861.0481)** as noted below. A duplicate copy of this sheet is enclosed.
- ☒ Any overpayments or deficiencies in the above-calculated fee.
- ☐ Filing fee in the amount of \$_____ as calculated above.
- ☒ Any additional fees required under 37 C.F.R. § 1.16 and § 1.17.
- ☒ In the event that a Petition for Extension of Time is required during the prosecution of this application, but not submitted, please charge any extension fee under 37 C.F.R. § 1.136(a) to our Deposit Account noted above.

CORRESPONDENCE ADDRESS

November 19, 2003
(Date)

By:

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Enclosures